

WHAT IS CLAIMED IS:

- 1 / 1. A spindle motor for a disk drive, comprising:
- a spindle motor base; 2

- a shaft coupled to the spindle motor base, the shaft defining a longitudinal axis; 3
- a first bearing, the first bearing including: 4
- a first inner race attached to the shaft; 5
- a first outer race; 6
- a first ball set between the first inner race and the first outer race; 7
- a second bearing spaced-apart from the first bearing along the longitudinal axis, the second 8
- bearing including: 9
- a second inner race attached to the shaft; 110
- a second outer race; 11
- a second ball set between the second inner race and the second outer race; and 12
- a rotary hub surrounding the shaft, and 13
- a hub extension between the first and second bearings that extends from the rotary hub toward 14
- the longitudinal axis beyond the first and second outer races and between the first and second 15
- inner races. 16
- The spindle motor of Claim 1, wherein the hub extension is unitary and integral with the 1 / 2.
- rotary hub. 2
- The spindle motor of Claim 1, wherein the hub extension is distinct from the rotary hub. 3. 1
- The spindle motor of Claim 1, wherein the first and second inner races are attached to the 4. 1
- shaft and wherein the spindle motor further comprises a first compliant member between the first 2
- outer race and the rotary hub and a second compliant member between the second outer race and 3
- the rotary hub. 4
- The spindle motor of Claim 1, wherein the first and second outer races are attached to the 5. 1
- rotary hub and wherein the spindle motor further comprises a third compliant member between 2
- the first inner race and the shaft and a fourth compliant member between the second inner race 3
- and the shaft. 4
- The spindle motor of Claim 1, wherein the first and second outer races are attached to the 6.





- rotary hub and wherein the hub extension extends between the first and second bearings so as to form a first gap between the hub extension and at least a portion of the first inner race and a 2 3 second gap between the hub extension and at least a portion of the second inner race.
- 4 The spindle motor of Claim 6, wherein the hub extension is dimensioned such that the 7. first gap spans a first distance that is less than a non-operational deflection and greater than an 1 2 operational deflection, the non-operational deflection and the operational deflection being defined as a deflection of the first inner race relative to the first outer race that would cause 3 permanent deformation of the first bearing should the spindle motor be subjected to a shock 4 5 event when the spindle motor is not in operation and is in operation, respectively. 6
- The spindle motor of Claim 6, wherein the hub extension is dimensioned such that the ٤8 ء second gap spans a second distance that is less than a non-operational deflection and greater than 2 an operational deflection, the non-operational deflection and the operational deflection being defined as a deflection of the second inner race relative to the second outer race that would cause 3 permanent deformation of the second bearing should the spindle motor be subjected to a shock 5 event when the spindle motor is not in operation and is in operation, respectively.
- The spindle motor of Claim 6, wherein the hub extension is configured such that at least **~**9. one of the first and second gaps is selected to be between about 0.0001 and about 0.0012 inches 1 in width. 3
- The spindle motor of Claim 1, wherein the shaft defines a recessed portion between the 10. 1 first and second inner races, the recessed portion defining a first facing surface and a second facing surface, each of the first and second facing surfaces being perpendicular to the 2 3 longitudinal axis and wherein the hub extension extends partially into the recessed portion to 4 define a third gap with the first facing surface and a fourth gap with the second facing surface.
- 5 The spindle motor of Claim 10, wherein the hub extension is dimensioned such that the 11. 1 third and fourth gaps each span a third distance that is less than a non-operational deflection and 2 greater than an operational deflection, the non-operational deflection and the operational deflection being defined as a deflection of the first inner race relative to the first outer race that 3 4 would cause permanent deformation of the first bearing should the spindle motor be subjected to 5 a shock event when the spindle motor is not in operation and is in operation, respectively.
- 6 The spindle motor of Claim 10, wherein the hub extension is configured such that the 12. 1



- third and fourth gaps are each selected to be between about 0.0001 and about 0.0012 inches in 2
- width. 3
- The spindle motor of Claim 10, further including a fifth compliant member between the 13. 1
- first outer race and the rotary hub and a sixth compliant member between the second outer race 2
- and the rotary hub. 3
- The spindle motor of Claim 13, further including a seventh compliant member disposed 14. 1
- between the hub extension and the first outer race and an eighth compliant member disposed 2
- between the hub extension and the second outer race. 3
- The spindle motor of Claim 10, further including a ninth compliant member between the 15. 1
- first inner race and the shaft and a tenth compliant member between the second inner race and the 2
- shaft. 3
 - The spindle motor of Claim 10, further including an eleventh compliant member disposed 16.
- 1 on a first portion of the hub extension that faces the first facing surface and a twelfth compliant 2
- member disposed on a second portion of the hub extension that faces the second facing surface. 3
- The spindle motor of Claim 10, further including a twenty-first compliant member 17. 1
- disposed on a first portion of the hub extension that faces the first inner race and a twenty-second 2
- compliant member disposed on a second portion of the hub extension that faces the second inner 3
- 4 ring.
- The spindle motor of Claim 1, wherein the first outer race defines a first hub extension 18. 1
- contact surface and the second outer race defines a second hub extension contact surface that 2
- faces the first hub extension contact surface and wherein the hub extension contacts the first and 3
- second hub extension contact surfaces and wherein the spindle motor further comprises a first 4
- preload keeper attached to the shaft, the first preload keeper loading at least the first bearing by 5
- exerting a force on the first inner race, the exerted force being directed toward the second 6
- bearing. 7
- The spindle motor of Claim 18, further comprising: 19. 1
- a thirteenth compliant member disposed between the preload keeper and the first inner 2
- race and between the first inner race and the shaft, and 3
- a fourteenth compliant member disposed between the second inner race and the shaft and 4
- between the second inner race and the base. 5

- The spindle motor of Claim 18, further wherein the spindle motor is configured so as to 20. 6
- define an axial travel limit gap, the axial travel limit gap enabling the spindle motor to displace 7
- and at least partially close the axial travel limit gap without undergoing permanent deformation 8
- of the first and second bearings under the influence of a shock event in an axial direction. 9
- The spindle motor of Claim 20, wherein the axial travel limit gap is selected to be 21. 1
- between about 0.0001 and 0.0012 inches in width. 2
- The spindle motor of Claim 20, wherein the second outer race and the spindle motor base 22. 1
- are mutually spaced apart so as to define the axial travel limit gap. 2
- The spindle motor of Claim 20, wherein the preload keeper and the first outer race are 23. 1
- mutually space apart so as to define the axial travel limit gap. 2
- The spindle motor of Claim 20, wherein the spindle motor further includes a stator 24. 1
 - support configured to support a stator within the spindle motor, and wherein the hub includes a
- lower bearing ring portion and wherein the stator support and the lower bearing ring portion are 2 3
- mutually spaced apart so as to define the axial travel limit gap.
- The spindle motor of Claim 18, further wherein the spindle motor is configured so as to 25.
- define a radial travel limit gap, the radial travel limit gap enabling the spindle motor to displace 1 2
- and at least partially close the radial travel limit gap without undergoing permanent deformation 3
- of the first and second bearings under the influence of a shock event in a radial direction.
- The spindle motor of Claim 25, wherein the radial travel limit gap is selected to be 26. 1
- between about 0.0001 and 0.0012 inches in width. 2
- The spindle motor of Claim 25, further comprising a stator support for supporting a 27. 1
- stator, the stator support being integral with the spindle motor base and wherein the rotary hub 2
- further includes a second bearing support portion for supporting the second bearing and wherein 3
- the stator support and the second bearing support portion are mutually spaced apart so as to 4
- define the radial travel limit gap. 5
- The spindle motor of Claim 25, wherein the rotary hub further includes a second bearing 28. 1
- support portion for supporting the second bearing and wherein the second bearing support 2
- portion and the second outer race are mutually spaced apart so as to define the radial travel limit 3
- gap. 4
- The spindle motor of Claim 25, wherein the hub extension defines a third facing surface 29. 1



- that is parallel to the longitudinal axis and wherein the third facing surface is spaced apart from
- 3 the shaft so as to define the radial travel limit gap.
- 1 30. The spindle motor of Claim 25, wherein the rotary hub defines a fourth facing surface
- that is parallel to the longitudinal axis and wherein the preload keeper defines a first preload
- 3 keeper surface that is parallel to and faces the fourth facing surface, the fourth facing surface and
- the first preload keeper surface being spaced apart so as to define the radial travel limit gap.
- 1 31. A disk drive comprising:
- 2 a disk drive base;
- a spindle motor attached to the disk drive base, the spindle motor comprising:
- 4 a spindle motor base;
- a shaft coupled to the spindle motor base, the shaft defining a longitudinal axis;
- 6 a first bearing, the first bearing including:
- a first inner race attached to the shaft;
- a first outer race;
- a first ball set between the first inner race and the first outer race;
- a second bearing spaced-apart from the first bearing along the longitudinal axis, the second bearing including:
- 12 a second inner race attached to the shaft;
- a second outer race;
- a second ball set between the second inner race and the second outer race; and
- a rotary hub surrounding the shaft, and
- a hub extension between the first and second bearings that extends from the rotary hub toward
- the longitudinal axis beyond the first and second outer races and between the first and second
- 18 inner races.
- 1 · 32. The disk drive of Claim 31, wherein the hub extension is unitary and integral with the
- 2 rotary hub.
- 1 33. The disk drive of Claim 31, wherein the hub extension is distinct from the rotary hub.
- 1 34. The disk drive of Claim 31, wherein the first and second inner races are attached to the
- shaft and wherein the spindle motor further comprises a first compliant member between the first
- outer race and the rotary hub and a second compliant member between the second outer race and



- 4 the rotary hub.
- 1 35. The disk drive of Claim 31, wherein the first and second outer races are attached to the
- 2 rotary hub and wherein the spindle motor further comprises a third compliant member between
- 3 the first inner race and the shaft and a fourth compliant member between the second inner race
- 4 and the shaft.
- 1, 36. The disk drive of Claim 31, wherein the first and second outer races are attached to the
- 2 rotary hub and wherein the hub extension extends between the first and second bearings so as to
- form a first gap between the hub extension and at least a portion of the first inner race and a
- second gap between the hub extension and at least a portion of the second inner race.
- 1 37. The disk drive of Claim 36, wherein the hub extension is dimensioned such that the first
- 2 gap spans a first distance that is less than a non-operational deflection and greater than an
- 3 operational deflection, the non-operational deflection and the operational deflection being
- defined as a deflection of the first inner race relative to the first outer race that would cause
- 5 permanent deformation of the first bearing should the spindle motor be subjected to a shock
- 6 event when the spindle motor is not in operation and is in operation, respectively.
- 1 ~ 38. The disk drive of Claim 36, wherein the hub extension is dimensioned such that the
- 2 second gap spans a second distance that is less than a non-operational deflection and greater than
- an operational deflection, the non-operational deflection and the operational deflection being
- defined as a deflection of the second inner race relative to the second outer race that would cause
- 5 permanent deformation of the second bearing should the spindle motor be subjected to a shock
- 6 event when the spindle motor is not in operation and is in operation, respectively.
- 1 39. The disk drive of Claim 36, wherein the hub extension is configured such that at least one
- of the first and second gaps is selected to be between about 0.0001 and about 0.0012 inches in
- 3 width.
- 1 40. The disk drive of Claim 31, wherein the shaft defines a recessed portion between the first
- and second inner races, the recessed portion defining a first facing surface and a second facing
- surface, each of the first and second facing surfaces being perpendicular to the longitudinal axis
- and wherein the hub extension extends partially into the recessed portion to define a third gap
- with the first facing surface and a fourth gap with the second facing surface.
- 1 41. The disk drive of Claim 40, wherein the hub extension is dimensioned such that the third



- and fourth gaps each span a third distance that is less than a non-operational deflection and
- greater than an operational deflection, the non-operational deflection and the operational
- deflection being defined as a deflection of the first inner race relative to the first outer race that
- would cause permanent deformation of the first bearing should the spindle motor be subjected to
- a shock event when the spindle motor is not in operation and is in operation, respectively.
- 1 42. The disk drive of Claim 40, wherein the hub extension is configured such that the third
- and fourth gaps are each selected to be between about 0.0001 and about 0.0012 inches in width.
- 1 43. The disk drive of Claim 40, further including a fifth compliant member between the first
- outer race and the rotary hub and a sixth compliant member between the second outer race and
- 3 the rotary hub.
 - 44. The disk drive of Claim 43, further including a seventh compliant member disposed
- 2 between the hub extension and the first outer race and an eighth compliant member disposed
- between the hub extension and the second outer race.
- The disk drive of Claim 44, wherein the fifth and seventh compliant members are unitary
- 2 and integral with one another and wherein the seventh and eighth compliant members are unitary
- 3 and integral with one another.
- 1 46. The disk drive of Claim 40, further including a ninth compliant member between the first
- 2 inner race and the shaft and a tenth compliant member between the second inner race and the
- 3 shaft.
- 1 47. The disk drive of Claim 40, further including an eleventh compliant member disposed on
- a first portion of the hub extension that faces the first facing surface and a twelfth compliant
- member disposed on a second portion of the hub extension that faces the second facing surface.
- 1 48. The disk drive of Claim 31, wherein the first outer race defines a first hub extension
- 2 contact surface and the second outer race defines a second hub extension contact surface that
- faces the first hub extension contact surface and wherein the hub extension contacts the first and
- second hub extension contact surfaces and wherein the spindle motor further comprises a first
- 5 preload keeper attached to the shaft, the first preload keeper loading at least the first bearing by
- 6 exerting a force on the first inner race, the exerted force being directed toward the second
- 7 bearing.

49. The disk drive of Claim 48, further comprising:

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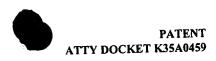
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- a thirteenth compliant member disposed between the preload keeper and the first inner race and between the first inner race and the shaft, and
- a fourteenth compliant member disposed between the second inner race and the shaft and between the second inner race and the base.
- 5 The disk drive of Claim 48, further wherein the spindle motor is configured so as to 50. 1
- define an axial travel limit gap, the axial travel limit gap enabling a portion of the spindle motor 2
- to displace and at least partially close the axial travel limit gap without undergoing permanent 3
- deformation under the influence of a shock event in an axial direction. 4
- The disk drive of Claim 50, wherein the axial travel limit gap is selected to be between 51. 1 about 0.0001 and 0.0012 inches in width. 2
 - The disk drive of Claim 50, wherein the second outer race and the spindle motor base are 52. mutually spaced apart so as to define the axial travel limit gap.
 - The disk drive of Claim 50, wherein the preload keeper and the first outer race are 53. mutually space apart so as to define the axial travel limit gap.
- 2 The disk drive of Claim 50, further comprising a stator support configured to support the 54. 1 stator within the spindle motor and wherein the stator support and the spindle motor base are 2 mutually spaced apart so as to define the axial travel limit gap. 3
- The disk drive of Claim 50, wherein the spindle motor further includes a stator support 55. configured to support the stator within the spindle motor, and wherein the hub includes a lower bearing ring portion and wherein the stator support and the lower bearing ring portion are 3 mutually spaced apart so as to define the axial travel limit gap.
- 4 The disk drive of Claim 48, further wherein the spindle motor is configured so as to 56. 1 define a radial travel limit gap, the radial travel limit gap enabling a portion of the spindle motor 2 to displace and at least partially close the radial travel limit gap without undergoing permanent 3 deformation under the influence of a shock event in a radial direction. 4
- The disk drive of Claim 56, wherein the radial travel limit gap is selected to be between 57. 1 about 0.0001 and 0.0012 inches in width. 2
- The disk drive of Claim 56, further comprising a stator support for supporting a stator, the 58. 1 stator support being integral with the spindle motor base and wherein the rotary hub further 2 includes a second bearing support portion for supporting the second bearing and wherein the 3



- stator support and the second bearing support portion are mutually spaced apart so as to define 4
- the radial travel limit gap. 5
- The disk drive of Claim 56, further comprising a spindle disk mounting flange and 59. 1
- wherein the spindle disk mounting flange and the spindle motor base are mutually spaced apart 2
- so as to define the radial travel limit gap.
- 3 The disk drive of Claim 56, wherein the rotary hub further includes a second bearing 60. 1
- support portion for supporting the second bearing and wherein the second bearing support 2
- portion and the second outer race are mutually spaced apart so as to define the radial travel limit 3
- gap. 4
- The disk drive of Claim 56, wherein the hub extension defines a third facing surface that 61. 1
- is parallel to the longitudinal axis and wherein the third facing surface is spaced apart from the 2
- shaft so as to define the radial travel limit gap. 3
- The disk drive of Claim 56, wherein the rotary hub defines a fourth facing surface that is 62.
- parallel to the longitudinal axis and wherein the preload keeper defines a first preload keeper 1
- surface that is parallel to and faces the fourth facing surface, the fourth facing surface and the 3
- first preload keeper surface being spaced apart so as to define the radial travel limit gap.
- A spindle motor for a disk drive, comprising: y 63. 1
- a rotating shaft, the rotating shaft defining a longitudinal axis;
- a first bearing, the first bearing including: 3
- a first inner race attached to the rotating shaft; 4
- a first outer race; 5
- a first ball set between the first inner race and the first outer race; 6
- a second bearing spaced-apart from the first bearing along the longitudinal axis, the second 7
- bearing including: 8
- a second inner race attached to the rotating shaft; 9
- a second outer race; 10
- a second ball set between the second inner race and the second outer race; 11
- a hub surrounding the shaft, the hub defining a hub extension configured to exert a pre-loading 12
- force on the first inner race, the pre-loading force being directed toward the second inner race; 13
- a spindle motor base, the spindle motor base including a base extension between the first and 14

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- second bearings that extends toward the longitudinal axis beyond the first and second outer races.
- 1 64. The spindle motor of Claim 63, further comprising:
- a seventeenth compliant member disposed between the first outer race and the spindle
- 3 motor base, and
- an eighteenth compliant member disposed between the second outer race and the spindle
- 5 motor base.
- 1 65. The spindle motor of Claim 64, wherein the seventeenth and eighteenth compliant
- 2 members are non-conductive.
- 1 66. The spindle motor of Claim 64, wherein the seventeenth and eighteenth compliant
- 2 members are conductive.
 - 67. The spindle motor of Claim 63, further comprising:
 - a nineteenth compliant member disposed between the first inner race and the rotating shaft, and
 - a twentieth compliant member disposed between the second inner race and the rotating shaft.